

## METHOD AND APPARATUS FOR AUTOMATED VIDEO ACTIVITY ANALYSIS

Inventors: I. Burak Ozer  
5 Wayne Wolf

### CROSS REFERENCE TO RELATED APPLICATIONS

This application claims the priority of provisional U.S. Application Serial No. 10 60/406,567, filed on August 27, 2003 and entitled "A System For Object Detection And Motion Classification In Compressed And Uncompressed Domains" by I. Burak Ozer and Wayne H. Wolf, the entire contents and substance of which are hereby incorporated in total by reference.

### BACKGROUND OF THE INVENTION

15

#### 1. Field of Invention

The invention is a new method and apparatus to detect the presence of articulated objects, e.g. human body, and rigid objects and to identify their activities in compressed and uncompressed domains and in real-time. The invention is used in a multiple camera system that 20 is designed for use in indoor and outdoor environments. Possible applications of the invention are applications in law enforcement e.g. security checkpoints, home security, public places, experimental social sciences, entertainment e.g. virtual rooms, smart rooms, in monitoring e.g. interior of plane, car, train or in monitoring outdoor environments e.g. streets, bus stops, road-sides, etc.

25

#### 2. Description of Related Art

##### **Background of the Invention**

Recent advances in camera and storage systems are main factors driving the increased 30 popularity of video surveillance. Prices continue to drop on components e.g. CMOS cameras while manufacturers have added more features. Furthermore, the evolution of digital video especially in digital video storage and retrieval systems is another leading factor. Besides the expensive surveillance systems, today's PC-based, easy plug-in surveillance systems are directed

at home users and small business owners who cannot afford the expense of investing thousand of dollars for a security system. Real time monitoring from anywhere, anytime enable keeping a watchful eye on security areas, offices, stores, houses, pools or parking garages.

Although these surveillance systems are powerful with new advances in camera and storage systems, automatic information retrieval from the sequences, e.g. rigid and non-rigid object detection and activity recognition in compressed and uncompressed domains, is not mature yet. These topics are still open areas for many research groups in industry, government, and academy.

Early activity recognition systems used beacons carried by the subjects. However, a system that uses video avoids the need for beacons and allows the system to recognize activities that can be used to command the operation of the environment.

As described in patents entitled “Method and Apparatus for real-time gesture recognition” by Katerina H. Nguyen, US patent numbers 6,072,494 and 6,256,033, a gesture recognition system is invented that compares the input gesture of the subject e.g. human figure with the known gestures in the database. Unlike the invention described herein, this approach is not modular as it recognizes the gesture of the whole human body figure. The same gesture, e.g. arm flapping can be performed by different subjects, birds, human, etc., where the subject of interest is not identified by the system. Another drawback of such a system is that it can easily fail when the subject figure is occluded.

As described in a patent entitled “Method and Apparatus for Detecting and Quantifying Motion of a Body Part”, US Patent No: 5148477, a system for body part motion is invented. Unlike the invention described herein, this approach is adapted to analyze facial movement, e.g. movement of eyebrows. The system does not classify different body parts of the human, it assumes that the object of interest is face. Unlike the system described herein, the system is purely dependent on the pixel change between two frames without using any classification and recognition information and any high level semantics.

US Patent 6,249,606 describes a system for computer input using a cursor device in which gestures made by a person controlling the cursor are recognized. In contrast, our system is not limited to use with a cursor device or to computer input applications. US Patent 6,222,465, US Patent 6,147,678, US Patent 6,204,852, and US Patent 5,454,043 describe computer input systems that recognize hand gestures; in contrast, our system is not limited to computer control

of a virtual environment or to hand gestures. US Patent 6,057,845 and US Patent 5,796,406 are also directed to computer input devices and not the more general case of activity analysis solved by our invention.

As described in the patent application entitled “Method of detecting and tracking groups of people” by Myron D. Flickner, US patent application number: 20030107649, a human tracking and detection system is invented that compares objects to “silhouette” templates to identify human and then uses tracking algorithm to determine the trajectory of people. This system does not try to understand the activity of the people, nor does it try to find the human-object interaction as our invention can do.

As described in a paper delivered at the Workshop on Artificial Intelligence for Web Search 2000 entitled “Visual Event Classification via Force Dynamics” authored by Siskind, a system, which classifies simple motion events, e.g. pick up and put down by using single camera input is presented. The system uses “force-dynamic” relations to distinguish between event types. A human hand performs pick-up and put-down gesture. The system works for stable background and colored objects. However, the system doesn’t identify hand or other objects in the scene.

As reported in the IEEE Computer Vision and Pattern Recognition Proceedings 1997, entitled “Coupled Hidden Markov Models (HMM) for Complex Action Recognition” by Matthew Brand, Nuria Oliver, and Alex Pentland, a hand gesture recognition system is described. The system recognizes certain Chinese martial art movements. However, the hands are assumed to be recognized a-priori. The system doesn’t detect and classify hands before gesture recognition step. The movement of one hand depends on the movement of the second hand, where freedom of motion of the hands is limited by the martial art movements.

Parameterized-HMM, as reported in IEEE Transactions on Pattern Recognition and Machine Intelligence, Volume 21, No 9, September 1999, entitled, “Parametric Hidden Markov Models for Gesture Recognition” authored by Wilson and Bobick, can recognize complex events e.g. an interaction of two mobile objects, gestures made with two hands (e.g. so big, so small), etc. One of the drawbacks of the parameterized HMM is that for complex events (e.g. a combination of sub-events) parameter training space may become very large.

In summary, most of the activity recognition systems are suitable for a specific application type. The invention described herein can detect a wide range of activities for

different applications. For this reason, the scheme detects different object parts and their movement in order to combine them at a later stage that connects to high-level semantics. Each object part has its own freedom of motion and the activity recognition for each part is achieved by using several HMMs in parallel.

5

## SUMMARY OF THE INVENTION

Explanation of some terms and abbreviations that are used throughout the text:

**Parametric representation:** Using abstract shapes with several parameters (typically fewer than 50) to represent a complex shape object such as human body parts.

10       **Video sequence:** A sequence of images that gives one or more activities recognizable by human being. A video sequence can be any video recording or media (e.g. an MPEG-1 file, a video tape, a video disc, a DVD, etc)

**FPGA:** FPGA or Field Programmable Gate Array is a type of programmable or configurable circuit.

15       **Platform FPGA:** High-density FPGAs used to provide core function of a system instead of just used as ‘glue logic’ that coordinate main functional units together.

**Special-purpose hardware:** Any single-purpose hardware unit, including but not limited to one or more FPGAs or other configurable logic, ASIC(s), or a custom chip(s).

**Video signal processor:** A programmable computer used for video processing.

20       **TriMedia processor:** A series of video processors produced by TriMedia Inc. (Now part of Philips).

**PC:** General personal computer (including desktop computers, servers, and/or laptop computers)

25       The present system can recognize activities in the compressed and uncompressed domains. Depending on the application and image resolution, a compact and a modular representation of the object is used.

            First, in order to recognize the overall human body posture in the compressed domain, an eigenspace representation of human silhouettes obtained from AC values of the Discrete Cosine Transform (DCT) coefficients, is used.

The system of present invention can use AC-DCT coefficient differences and compare them in order to classify the up/down and left/right movements of the human body silhouette in real-time.

Posture recognition result helps the system to decide between two possibilities, storing 5 the frames with a particular posture, e.g. pointing to the right, in a database and/or processing input frames, starting with this particular posture, for activity recognition purposes for a certain number of frames or until detecting another particular posture. The system is capable of using compressed domain and stored, e.g. MPEG Inter-frames, as well as uncompressed domain real-time video inputs.

10 The system of the present invention can detect non-rigid (e.g. human body) and rigid object parts and recognize their activities in compressed and uncompressed domains. To achieve this, a method with two levels, namely low and high levels, is used. The low-level part performs object detection and extracts parameters for the abstract graph representation of the image being processed. The high level part uses dynamic programming to determine the activities of the 15 object parts, and uses a distance classifier to detect specific activities.

Low-level part performs object detection and extracts parameters for the abstract graph representation of the frame being processed in real time. Local consistency based on low level features and geometrical characteristics of the object regions is used to group object parts. Furthermore, higher order shape metrics is needed for the presentation of the complex objects.

20 The object is decomposed for its presentation as a combination of component shapes. The result will be unaffected by a partial occlusion of the object.

The system is capable of managing the segmentation process by using object-based knowledge in order to group the regions according to a global consistency and introducing a new model-based segmentation algorithm by using a feedback from relational representation of the 25 object. The major advantages of the model-based segmentation can be summarized as improving the object extraction by reducing the dependence on the low-level segmentation process and combining the boundary and region properties. Furthermore, the features used for segmentation are also attributes for object detection in relational graph representation.

The system is also capable of 2D approximation of object parts by fitting closed curves 30 with shape preserving deformations that provide satisfactory results. It helps to disregard the deformations due to the clothing.

The selected unary and binary attributes are further extended for application specific algorithms.

Object detection is achieved by matching the relational graphs of objects with the reference model. This method maps the attributes, interprets the match and checks the conditional rules in order to index the parts correctly. This method improves object extraction accuracy by reducing the dependency on the low-level segmentation process and combining the boundary and region properties. Furthermore, the features used for segmentation are also attributes for object detection in relational graph representation. This property enables to adapt the segmentation thresholds by a model-based training system.

After the detection of the object parts, the system is ready to recognize the activities of each object part and the overall activity of the object.

For example, if the object of interest is a human body, the system will first detect different object parts, e.g. hands, head, arms, legs, torso and compare these part attributes with the human model attributes via graph matching. If the object of interest is a rigid object the system will detect object parts and compare the attributes of these parts with the object model via graph matching. The high level part uses a pattern classifier, namely Hidden Markov Models, which classifies activity patterns of the body parts in space-time and determines the movements of the object parts. It also uses a distance classifier to detect specific gestures and activities. For articulated objects like human body, the system will find the activities of each body part independently from each other and combine the activities to find the gesture and overall activity of the human body at a later stage by using a quadratic distance classifier.

Note that, each object part has its own freedom of motion. The activity recognition for each part is achieved by using several HMMs in parallel.

Combining activities for different rigid and non-rigid object parts and generating scenarios are purely application dependent issues. For each type of application the part activities are combined with different weights and generated different scenarios for these particular applications.

Other advantages of the invention are, that the system is fast, robust and has a very low latency and a high accuracy rate for rigid and non-rigid object part detection and activity classification. Additionally, compressed domain methods reduce computational complexity, to avoid dependency on correct segmentation and reduce storage area and bandwidth requirements.

Finally, a multi-camera/multi-processor system using a PC as a host allows evaluating the algorithms running on real-time data.

FIG. 3 is a schematic view of the object detection and activity recognition apparatus. The apparatus includes a monitor, analog or digital cameras, a personnel computer (PC) including a database for objects, a database for activities, video capturer, central processor, buffers and other memory units.  
5

The video frames are sent from the camera to the video capturer on the PC. The video capturer converts the frames sent from the camera from analog format to digital format and stores the color components of the current frame in three different buffers.

10 The color components stored in the buffers are further processed by the central processor to classify the object regions. Region parameters are compared with the object parameters in the object database and the region is classified.

Spatial information of the classified object regions is stored in the memory unit.

15 After a certain number of frames the sequential spatial information stored in the memory unit is compared with the activity database by the central processor for each object region in parallel.

The output activities of the object parts are further processed by the central processor to find the overall activity of the object.

20

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 is a pictorial view of the object parts and fitted closed curves (Object of Interest is “human”).

Figure 2 is a diagrammatic, schematic view of some of the recognized activities.

Figure 3 is a schematic view of the object detection and activity recognition apparatus.

25

Figure 4 is a block diagram of our system.

Figure 5 shows an example of contour generation.

### **DETAILED DESCRIPTION OF THE INVENTION**

#### **Overview**

30

Most of the existing commercial video security systems are only based on simple motion detection algorithms, where the systems are unable to classify the detected object or to detect the

occurrence of events, e.g. suspicious movement. On the other hand, although there has been a great deal of research done for object detection and tracking, the existing solutions are not scalable and their real-time applicabilities are limited. Since the personnel cannot pay constant attention to the monitors, the effectiveness of traditional surveillance systems is limited. Systems  
5 equipped with the proposed algorithm would increase effectiveness by calling attention to the cameras that capture unattended objects such as, but not limited to, unattended bag in a metro station or unattended package next to a public building, identify intrusion and detect suspicious activities, reduce the need for monitors and supervisory personnel and transfer low-level video data to other security points in real-time for immediate response. Our invention is also useful for  
10 applications other than security, such as tracking the activities of employees for human factors studies or identifying the activity of customers for marketing studies. As shown in Figure 1, the system can identify each object part separately (in this case hands 100, head 101, and torso 102) after comparing the object attributes with the model database via a graph-matching algorithm.

In this system, the user can determine the actions taken when the system helps the user  
15 identify suspicious activity. The system can easily be set up to classify several objects of interest, such as, but not limited to, human, bag, dog, and to recognize a wide variety of activities, such as gestures ranging from pointing a gun to waving arms, leaving unattended objects, entering a prohibited area, tailgating at security doors, spending too much or too little time in an area, etc. or to detect the direction of movement of a rigid object, such as, but not  
20 limited to, a truck which is speeding towards the security gate at a nuclear facility, etc.

Libraries of activities determine what events cause the system to set an alarm. The user  
25 can add to the library and turn actions in the library on and off at will. Most video analysis systems use simple methods such as motion detection. However, since motion detection and tracking don't know what is moving, they can easily generate false alarms as well as missing important events. In contrast, this system builds a model of the object of interest on each video frame. It tracks only objects that fit the model of the user defined subject, such as human, any rigid object, dog. This makes the system more accurate because it easily rejects many elements in the scene that may be moving but are not objects of interest.

Figure 3 illustrates our overall system in use. In this figure, camera 301 views a region of  
30 interest 302. Camera 301 is connected to a computer 303. The computer 303 may keep a database of graphs, HMM models, and other information used during video analysis.

Figure 4 shows a block diagram of our invention. The video input 401 may be from an analog camera whose video data has been suitably digitized or from a digital camera. A variety of video input formats can be used. The various elements in the block diagram will be described in more detail below.

5 This patent describes exemplary implementations of our invention but the invention is not limited to the components and details described here.

### Early Stage Analysis

Background elimination and color transformation: The first step (402) is the 10 transformation of pixels into another color space regarding to the application. Background elimination is performed by using these transformed pixel values for the current and background images. The foreground-background separation is achieved by comparing the DC coefficients of the foreground object with the DC coefficients of the background object via a statistical method.

Segmentation: In 403, the foreground regions are extracted and the object of interest is 15 segmented hierarchically into its smaller unique parts based on the combination of color components and statistical shape features after background elimination. The meaningful adjacent segments are combined and used as the input of the following algorithm steps.

Contour following: Contour points of the segmented regions are extracted and stored (404). Figure 5 gives an example of contour following: the frame given to the contour following 20 algorithm 501 results in the output frame with the contour 502.

Ellipse fitting: This step (405) fits ellipses to the contours. Even when object of interest is not occluded by another object, due to the possible positions of non-rigid parts an object part can be occluded in different ways. In this case, 2D approximation of parts by fitting ellipses with shape preserving deformations provides more satisfactory results. It also helps to discard the 25 deformations.

Object modeling by invariant shape attributes: For object detection, it is necessary to select part attributes which are invariant to two-dimensional transformations and are maximally discriminating between objects (406).

Graph matching: In this step (407), we compare the object model with a set of stored 30 models. Each extracted region modeled with ellipses corresponds to a node in the graphical representation of the object of interest. Each object part and meaningful combinations represent a

class  $w$  where the combination of binary and unary features are represented by a feature vector  $X$  and computed off-line. The combination of segments is controlled by the reference model and by the rule generator. If the graph-matching algorithm cannot find a meaningful correspondence of the combined segments in the reference model, the combination will be rejected and a new  
5 combination will be generated. For the purpose of determining the class of these feature vectors a piecewise quadratic Bayesian classifier with discriminant function  $g(X)$  is used. The generality of the reference model attributes allows the detection of different kind of models for the same object type while the conditional rule generation decreases the rate of false alarms. The computations needed for each node matching are then a function of the feature size and the  
10 previously matched nodes of the branch under consideration. The marked regions are tracked by using ellipse parameters for the consecutive frames and graph-matching algorithm is applied for new objects appearing in the other regions.

### Classifying over Multiple Frames

15 Output of the graph-matching algorithm is the classified object parts. The movements of the object parts are described as a spatio-temporal sequence of feature vectors that consist of the direction of the object part movement. The system checks direction of the movements of the object parts for a number of frames and calculates the probabilities of the activities with the known activities by using Hidden Markov Models and chooses the pattern with the highest probability as the recognized activity in these frames (408). The activity of each part is then  
20 combined by a quadratic distance classifier to find the overall activity of the object of interest. As shown in Figure 2, frame 201 shows walking right and frame 202 shows walking left.

25 The basis of this invention relies on the combination of the modular parts that form logical semantics at each stage. The lowest level consists of low-level regions. The combination of regions corresponds to object parts. The combination of object parts defines the object while the combination of the movements of different parts determines the gesture and activities. The combination of the activities defines the event in the scene. The same approach is then used to teach the system different objects, activities and events. Therefore, the user-defined semantics are entered as up-bottom approach. For example, the event of pointing a gun can be decomposed  
30 to different levels such as the relative location of arms, hands and torso and the combined movements of arms and hands.

The attached Exhibit A and Exhibit B include disclosure materials directed to various aspects of the invention.

It will be understood that the forgoing description of the invention is by way of example only, and variations will be evident to those skilled in the art without departing from the scope of  
5 the invention, which is as set out in the appended claims.